

Appendix - Regulatory declarations

CLIENT IDENTIFICATION

CLIENT (LEGAL ENTITY)	
Company name and legal form:	
National identification number:	
Head office address (Address + Postcode + City + Country):	
Share capital:	
LEGAL REPRESENTATIVE	POTENTIAL DELEGATE
Last name - First name:	Last name - First name:
Legal representative address (Postcode + City + Country):	Potential delegate address (Postcode + City + Country):
Profession(s):	Profession(s):

DECLARATION OF BENEFICIAL OWNERS

Information to help you complete this section can be found in the appendix «Explanatory note - BO declaration».

Hereby declares that:

☐ One or more natural persons directly or indirectly hold more than 25.00% of the shares.

In this case, please fill in the following form:

A	LAST NAME	FIRST NAME(S)	DATE AND PLACE OF BIRTH	HOME ADDRESS (including Postcode + City + Country)	PROFESSION(S)	FINAL % OF SHAREHOLDING	IN THE EVENT OF INDIRECT OWNERSHIP
			Date of Birth				Company name and country
			City and Country				National identification number
B	LAST NAME	FIRST NAME(S)	DATE AND PLACE OF BIRTH	HOME ADDRESS (including Postcode + City + Country)	PROFESSION(S)	FINAL % OF SHAREHOLDING	IN THE EVENT OF INDIRECT OWNERSHIP
			Date of Birth				Company name and country
			City and Country				National identification number
C	LAST NAME	FIRST NAME(S)	DATE AND PLACE OF BIRTH	HOME ADDRESS (including Postcode + City + Country)	PROFESSION(S)	FINAL % OF SHAREHOLDING	IN THE EVENT OF INDIRECT OWNERSHIP
			Date of Birth				Company name and country
			City and Country				National identification number
D	LAST NAME	FIRST NAME(S)	DATE AND PLACE OF BIRTH	HOME ADDRESS (including Postcode + City + Country)	PROFESSION(S)	FINAL % OF SHAREHOLDING	IN THE EVENT OF INDIRECT OWNERSHIP
			Date of Birth				Company name and country
			City and Country				National identification number

NB: Please enclose a copy of a valid identity document for each of the natural persons declared above.
CPS reserves the right to request additional documents if necessary.

☐ No individual holds more than 25,00% of interest.

Undertakes to inform kyc@concentrix.com of any change in the company's beneficial owners.

Signed on (date):

Name of Legal Representative / Delegate:

Signature:

SELF-CERTIFICATION OF TAX RESIDENCE - LEGAL ENTITY

Tax regulations require CPS to collect certain information on the tax status of its clients. The regulations governing the automatic exchange of information (AIE-CRS - "Automatic Information Exchange - Common Report Standard") impose notably the following obligations on all payment institutions operating in France:

- Identification of their clients and their tax residences.
- Annual reporting of clients who are not French tax residents to the French tax authorities.

You must complete this self-certification of tax residence to enable CPS to comply with its obligations. It will be valid only if all the fields are completed.

Do not use this form if the account holder is not a natural person. In this case, please use and complete the "Self-certification of tax residence - Individuals" form.

1 - STATUS OF THE ENTITY

Please tick the appropriate legal entity status box, as well as the associated sub-category if applicable. Information to help you complete this section and identify your status is in the appendix « Explanatory note - Self-certification of tax residence ».

☐ **Active Non-Financial Entity** (non-financial activity including industrial / commercial / service activity...).

Please tick the associated sub-category:

- ☐ Listed company or subsidiary controlled by a listed company;
- ☐ Government or public entity;
- ☐ International organization;
- ☐ Entity whose passive income* represent less than 50% of total income;
- ☐ Entities other than those listed above (See « Explanatory note - Self-certification »).

☐ **Passive Non-Financial Entity** whose share of passive income represents more than 50% of total income (includes, in particular, an asset management or investment activity, whose assets are held to produce passive income (rents, annuities, royalties, etc.) as well as any NFE that is not active.

☐ **Financial Institution**

Please enter the GIIN code (Global Intermediary Identification Number):

** Passive income generally refers to income such as dividends, interest, rent, etc.*

2 - TAX RESIDENCE

1/ Please indicate below a list of all countries where the legal entity is considered resident for tax purposes, including France, and the TIN for each country.

Country(ies) of tax residence(s)	Fiscal Identification Number (TIN / « NIF » in French) *
1.	
2.	

** SIREN number for France / Indicate N/A if country of tax residence does not issue a TIN.*

2/ Is the entity a US Person within the meaning of US tax regulations? (Must be completed, see Explanatory Note - Self-certification of tax residence)

☐ Yes, please provide your U.S. Tax Identification Number / TIN, here:

☐ No

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3/ If you have ticked the “Passive Non-Financial Entity” or “Financial Institution” box, please complete the table below, to enter all the countries of tax domicile of the beneficial owners you have indicated in the “Declaration of beneficial owners”.

Beneficial Owner	Country of tax residence	Fiscal Identification Number (TIN) *	Does this person have American nationality/citizenship?
A			<input type="checkbox"/> Yes, please indicate its TIN: <input type="checkbox"/> No
B			<input type="checkbox"/> Yes, please indicate its TIN: <input type="checkbox"/> No
C			<input type="checkbox"/> Yes, please indicate its TIN: <input type="checkbox"/> No
D			<input type="checkbox"/> Yes, please indicate its TIN: <input type="checkbox"/> No

* Only if the country of residence is France, the TIN is optional.

Indicate N/A if the country of tax residence does not issue a TIN.

3 - DECLARATION

I certify the accuracy and completeness of the information provided above and undertake to inform CPS immediately of any change in my situation requiring the updating of this declaration (kyc@concentrix.com).

Should I fail to provide all or part of this information, or in the event of unjustified inconsistencies/contradictions between the declarations made in this self-certification and other information available to CPS, I understand that my accounts may be declared to the French tax authorities on the basis of indications of tax residence already known to CPS, in accordance with the regulations on the automatic exchange of information. The French tax authorities will forward this information to the tax authorities of the country(ies) of tax residence(s) concerned.

We would like to draw your attention to the fact that, in accordance with article 441-7 of the French Penal Code, the drawing up of a certificate stating materially inaccurate facts, the falsification of an originally sincere certificate or the use of an inaccurate or falsified certificate is punishable by one year's imprisonment and a fine of €15,000. These penalties are increased to three years' imprisonment and a €45,000 fine when the offence is committed with a view to damaging the Treasury or the assets of another person.

Signed in (place):

On (date):

Last Name:

First Name:

Acting as:

☐ Legal Representative

☐ Delegate

Signature

NB: The personal data thus collected about you is mandatory and is intended to comply with regulations concerning the automatic exchange of information relating to accounts in tax matters. This data is intended for CPS, the data controller, and, where applicable, for the French tax authorities for transmission, if required by the regulations concerning the automatic exchange of information. We remind you that you have various rights over your personal data (right of access, rectification, opposition, etc.), which you may exercise by contacting CPS at the following e-mail address: privacy.cps@concentrix.com.

EXPLANATORY NOTE ON REGULATORY DECLARATIONS

1. Declaration of Beneficial Owner(s) (BO)

1. WHY THIS REQUEST FOR A « DECLARATION OF BENEFICIAL OWNER » ?

Under the regulations on preventing the use of the financial system for money laundering and the financing of terrorism, and in its capacity as a Payment Institution, CPS is obliged to comply with approval regulations. Financial institutions are required to comply with increasingly stringent national and international rules/regulations (*Directive (EU) 2018/843 of the European Parliament and of the Council of May 30, 2018, transposed into French law by Ordinance no. 2020-115 of February 12, 2020 and Decrees no. 2020-118 and no. 2020-119 of February 12, 2020 and Article L. 561-2-2 of the Monetary and Financial Code*). The BO(s) declaration process meets this requirement.

The formalities for this declaration are described in the following paragraphs.

2. WHAT ARE « BENEFICIAL OWNER(S) (BO) » ?:

A **Beneficial Owner (BO)** is any natural person who has a (direct or indirect) “*interest*” of at least 25% in (the assets of) the company. This 'interest' can be expressed in terms of holding (directly or indirectly) at least 25% of the share capital, corresponding voting rights or effective control of the company. This includes the person or persons with the power to appoint or dismiss the majority of the members of the administrative, management, executive or supervisory bodies; or exercising, by other means, a power of control over the administrative, management, executive or supervisory bodies.

Each BO must be declared and identified by: full name, full address (including place of residence).

This declaration is made via the present BO Declaration.

Please indicate for each BO the overall percentage of interest (direct and/or indirect) held in (the assets of) the company.

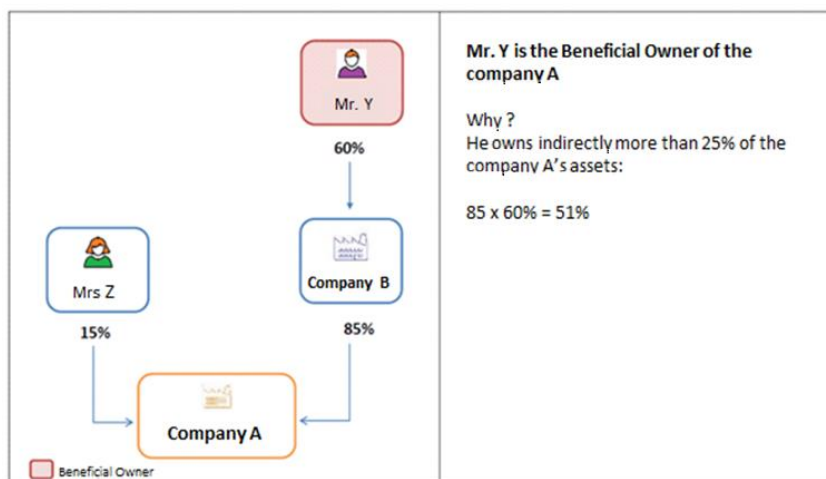
▪ **Direct interest**

The individual/owner directly holds at least 25% in (the assets of) the company.

▪ **Indirect interest**

If the company is owned by one or more legal entities, it is not necessary to enter all intermediary companies. Please fill in the final percentage of the BO's (natural person's) shareholding in the company's (assets).

Method of calculating the final percentage holding



3. WHO IS REQUIRED TO SIGN THE DECLARATION?

The completed declaration must be signed by the person(s) who is (are) authorized to represent the Client towards third parties, in accordance with its statutory and legal regime.

2. Self-certification of tax residence - Legal entity

DEFINITIONS

NFE: A « Non-Financial Entity » is an Entity that is not a Financial Institution, i.e. an Entity that is neither a deposit-taking institution, nor an institution managing securities deposits, nor a life insurance company or an investment entity. There are two types of NFE: Active NFEs and Passive NFEs.

Active NFE: An active « Non-Financial Entity » refers to an entity whose economic activity is mainly “real”, i.e. they generate most of their “income (more than 50%) through commercial, industrial or service activities. For Example:

- A company that sells products or provides services;
- An NFE of which less than 50% of gross income in the previous calendar year or other relevant accounting reference period is passive income AND less than 50% of assets held in the previous calendar year or other relevant accounting reference period are assets that produce or are held to produce passive income. In practice, this corresponds mainly to industrial or commercial entities (e.g. a company operating a factory, shop or restaurant);
- A listed NFE: the NFE's shares are regularly traded on a regulated stock market where the NFE is a related entity of such an entity;
- A public entity, an international organization, a central bank or an entity 100% owned by the above-mentioned structures;
- A holding company of a non-financial group;
- A start-up company;
- An NFE whose assets are being liquidated, or an NFE undergoing restructuring;
- A treasury center belonging to a non-financial group;
- A not-for-profit entity.

Passive NFE: A « Passive NFE » is defined as opposed to an Active NFE: any NFE that is not Active is Passive, i.e. it has no significant economic activity, but holds assets (such as financial investments or real estate) and derives the majority of its income (over 50%) from these assets. In practice, passive NFEs can be asset management companies, investment clubs, family offices, real estate partnerships or certain holding companies. A professionally managed investment entity based in a jurisdiction not participating in the EAI-CRS agreement is treated as a Passive NFE and not as a Financial Institution. The various NACE codes that may correspond to a passive NFE:

- 64.3, i.e. investment funds and similar financial entities;
- 66.19, i.e. legal entities for the management of movable assets;
- 66.3, i.e. fund management; some non-trading companies may be classified as passive NFEs and have this NACE code;
- 68.1, 68.2, 68.31, 68.32, i.e. real estate activities, if they are in the form of a French SCI (non-trading real estate company).

TIN: The « TIN » refers to the Tax Identification Number used for tax purposes (NIF in French).

US PERSON: A US Person is a person who qualifies as a US taxpayer under US tax law:

- Any American citizen;
- Any legal resident of the United States (e.g. U.S. green card holder);
- Any person residing permanently in the United States, or who has spent a sufficiently long period of time there over the past three years;
- An entity created in the United States of America, or under U.S. federal law, or of one of the U.S. federal states;
- An entity in which at least one US Person beneficial owner holds more than 25% of the rights.

ADDITIONAL INFORMATION

The notion of tax residence is defined by each country. To find out where you stand regarding tax legislation, you should refer to the definition issued by the tax authorities of the countries that may concern you and seek tax advice if necessary. To help you find the TIN that may apply to you, you can enter the following in a search engine:

- « NIF Europe » and click on the European Commission website (ec.europa.eu);
- « Tax identification numbers (TINs) - OECD » and visit the OECD website (oecd.org).

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